



NASA Policy Directive

NPD 9310.1D

Effective Date: May 30, 2007
 Expiration Date: May 30, 2017

COMPLIANCE IS MANDATORY

[Printable Format \(PDF\)](#)

Request Notification of Change (NASA Only)

Subject: Delegation of Authority - To Submit the Agency Report on Fund Status and the Agency Certification of Obligations and Expenditures - Revalidated w/change 1, 2/15/2012

Responsible Office: Office of the Chief Financial Officer

Change History

NPD 9310.1D Delegation of Authority - To Submit the Agency Report on Fund Status and the Agency Certification of Obligations and Expenditures

Change No.	Date	Change(s)
1	02/15/12	Update to bring to 1400 Compliance by removing several shall statements in one paragraph, and add title to cancellation section.
2	02/10/14	Update with 1400 Compliance by adding additional applicability statement, updating the authorities and adding a measurement/verification.

1. POLICY

It is NASA policy to submit the report at the close of each fiscal year on fund status and certification of proper obligations and expenditures required by 31 United States Code (U.S.C.) Sec. 1554 to the President and the Secretary of the Treasury, at the close of each fiscal year.

2. APPLICABILITY

- a. This directive is applicable to NASA Headquarters and NASA Centers, including Component Facilities, and Technical and Service Support Centers.
- b. In this directive, all mandatory actions (i.e., requirements) are denoted by statements containing the term "shall." The terms: "may" or "can" denote discretionary privilege or permission; "should" denotes a good practice and is recommended, but not required; "will" denotes expected outcome; and "are/is" denotes descriptive material.

3. AUTHORITY

- a. Audit, control, and reporting, 31 U.S.C. Sec. 1554.
- b. The National Aeronautics and Space Act, as amended, 51 U.S.C. Sec. 20113(a).

4. APPLICABLE DOCUMENTS AND FORMS

- a. Documentary evidence requirement for Government obligations, 31 U.S.C. Sec. 1501.

5. RESPONSIBILITY

a. The Chief Financial Officer (CFO) or his or her delegate shall submit the report on fund status (unliquidated obligations, unobligated balances, canceled balances, and adjustments made to appropriation accounts during the completed fiscal year) to the President and the Secretary of the Treasury. The report will contain the information required by 31 U.S.C. Sec. 1554(b)(2) and will include the certification required by 31 U.S.C. Sec. 1554(b)(2)(E) regarding proper obligations and expenditures. The Treasury's Federal Agencies' Centralized Trial-Balance System (FACTS) II will be used to submit the report and certification, and documentary evidence of obligations will be maintained in accordance with 31 U.S.C. Sec. 1501.

b. The Director, Financial Management Division, Office of the CFO, shall, through official channels, keep the Administrator fully and currently informed of significant actions, problems, or other matters of substance related to the exercise of the authority delegated in this NASA Policy Directive.

6. DELEGATION OF AUTHORITY

The CFO is delegated the authority to submit the report and certification required by 31 U.S.C. Sec. 1554 and referenced above to the President and the Secretary of the Treasury. The CFO may further delegate these authorities to the Deputy CFO (Finance) or Director, Financial Management Division, Office of the CFO.

7. MEASUREMENT/VERIFICATION

Compliance will be measured through existing communications and reporting channels.

8. CANCELLATION

NPD 9310.1C, Delegation of Authority - To Submit the Agency Report on Fund Status and the Agency Certification of Obligations and Expenditures dated April 22, 2002.

**UPDATED W/CHANGE 2, FEBRUARY 10, 2014, ORIGINAL
SIGNED BY:**

**/s/ Michael D. Griffin
Administrator**

ATTACHMENT A: (TEXT)

None.

(URL for Graphic)

None.

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